

10/15/98  
JC594 U.S. PTO

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		<b>Attorney Docket No.</b> TI-25320	
		<b>First Named Inventor or Application Identifier</b> Lin, Boyang	
		<b>Title</b>	<b>Selective Oxidation for Semiconductor Device Fabrication</b>
		<b>Express Mail Label No.</b> EL071337003US	

  

<b>APPLICATION ELEMENTS</b> <small>See MPEP Chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b> Assistant Commissioner for Patent Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small>	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	<b>ACCOMPANYING APPLICATION PARTS</b>  8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & Documents(s)) 9. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application <small>(PTO/SB/09-12)</small> Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>if foreign priority is claimed</small> 16. <input type="checkbox"/> Other:  <small>*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</small>	
2. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages] 15</span> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the invention</li><li>- Brief Summary of the invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identical of above copies</li></ul>		
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC d113) <span style="float: right;">[Total Sheets] 3</span>			
4. Oath or Declaration <span style="float: right;">[Total Pages] 3</span> <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly Executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small></li></ul> <p style="text-align: center;"><b>[Note Box 5 below]</b> <b>DELETION OF INVENTOR(S)</b></p> <ul style="list-style-type: none"><li>i. <input type="checkbox"/> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §1.63(d)(2) and 1.33(b).</li></ul>			
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			

  

<b>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:</b>			
<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No: /
<b>Prior application information: Examiner</b> _____		<b>Group / Art Unit:</b> _____	
<b>18. CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Customer Number or Bar Code Label <span style="float: right;">(Insert Customer No. or Attach bar code label here)</span> or <input checked="" type="checkbox"/> Correspondence address below			
<b>NAME</b> Mark A. Valetti			
<b>ADDRESS</b> P.O. Box 655474 M/S 3999			
<b>CITY</b> Dallas	<b>STATE</b> TX	<b>ZIP CODE</b>	75265-5474
<b>COUNTRY</b> USA	<b>TELEPHONE</b> 972-917-4438	<b>FAX</b>	972-917-4418
<b>Name (Print/Type)</b> Mark A. Valetti		<b>Registration No. (Attorney/Agent)</b> 36,707	
<b>Signature</b> <i>Mark A. Valetti</i>		<b>Date</b>	10/15/98

**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.**Complete If Known**

Application Number **60/061,827**  
 Filing Date **10/14/97**  
 First Named Inventor **Lin, Boyang**  
 Examiner Name  
 Group / Art Unit  
 Attorney Docket No. **TI-25320**

TOTAL AMOUNT OF PAYMENT (\$)**790****METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge to the following Deposit Account,

Deposit Account Number

**20-0668**

Deposit Account Name

**Texas Instruments Incorporated**

- ☒ Charge any additional fee required or credit any overpayment ☐ Charge all indicated fees and any additional fee required or credit any overpayment

2. ☐ **Payment Enclosed:**

☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	790	201	395	Utility filing fee	<b>\$790</b>
106	330	206	165	Design filing fee	\$
107	540	207	270	Plant filing fee	\$
108	790	208	395	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$
<b>SUBTOTAL (1)</b>					<b>(\$790)</b>

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
<b>19</b>	<b>-20** = 0</b>	<b>22</b>	<b>0</b>
<b>Independent Claims</b>	<b>3</b>	<b>-3** = 0</b>	<b>82</b>
<b>Multiple Dependent</b>		<b>270</b>	<b>0</b>

\*\*or number previously paid, if greater; For Reissue, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	22	203	11	Claims in excess of 20
102	82	202	41	Independent Claims in excess of 3
104	270	204	135	Multiple dependent claims in excess of 3
109	82	209	41	**Reissue independent claims over original patent
110	22	210	11	**Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>				

(\$)**0****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension of time within second month	
117	950	217	475	Extension of time within third month	
118	1,510	218	755	Extension of time within fourth month	
128	2,060	228	1,030	Extension of time within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a pubic use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,320	241	660	Petition to revive - unintentional	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)****SUBMITTED BY**

Typed or Printed Name

**Mark A. Valetti**

Signature

*Mark A. Valetti*

Date

**10/15/98****Complete (if applicable)**

Reg. Number

**36,707**

Deposit Account User ID